ATTORNEY DOCKET №:

ASA 02-3-2

EXPRESS MAIL LABEL №:

EV 303 409 509 US

#### NONPROVISIONAL PATENT APPLICATION TRANSMITTAL LETTER

MAIL STOP PATENT APPLICATION COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Transmitted herewith for filing is the patent application of:

**INVENTOR:** 

A. L. Pepper Aasgaard 3623 Armbrust Drive Omaha, NE 68124 Citizen of United States

Title: Emergency Signaling Device

#### **CERTIFICATION UNDER 37 C.F.R. §1.10**

I hereby certify that this Nonprovisional Patent Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date, November 20, 2003 in an envelope as "Express Mail Post Office to Addressee", Mailing Label Nº EV 303 409 509 US, with sufficient postage, addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ReNea D. Berggren

DATED: November 20, 2003

### 1. Type of Application

This is a Nonprovisional Application.

#### 2. Benefit of Prior U.S. Application(s) (35 U.S.C. § 119(e))

<u>USPSN</u>	Filing Date	<u>Inventor(s)</u>	<u>Status</u>
60/428,048	11/20/2002	Aasgaard	Pending

# 3. Papers Enclosed That Are Required for Filing Date under 37 C.F.R. §1.53(b) (Regular) or 37 C.F.R. §1.153 (Design) Application

Eleven (11) Pages of Specification;

Six (6) Pages of Claims;

One (1) Page of Abstract; and

Four (4) Sheets of Drawing Figures.

# 4. Additional Papers Enclosed

Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) is attached.

#### 5. Declaration or Oath

Signed Declaration and Power of Attorney is attached.

## 6. Inventorship Statement

The inventorship for all the claims in this application are the same.

# 7. Language

English.

# 8. Assignment

None.

### 9. Certified Copy

None are required.

### 10. Fee Calculation (37 C.F.R. §1.16)

#### **BASIC FEE** \$385.00 Standard Fee \$385.00 **EXCESS CLAIM FEE** TOTAL OVER TWENTY 36 -20 0 16 \$9.00 \$144.00 INDEPENDENT OVER THREE 4 -3 0 1 \$43.00 \$43.00 MULTIPLE DEPENDENT \$145 \$0.00 ASSIGNMENT RECORDATION FEE \$40.00 \$0.00 TOTAL FILING FEES \$572.00

- 11. Small Entity Status-Applicant specifically requests small entity status under 37 C.F.R. §1.27.
- 12. Request for International Type Search (37 C.F.R. §1.104(d))

  None required.

#### 13. Authorization to Charge Fees

The Commissioner is hereby authorized to charge the filing fees of \$572.00 to Deposit Account No 19-4882. Please charge any underpayments related to this filing or credit any excess to Deposit Account No 19-4882. A copy of this *Transmittal* is enclosed for accounting purposes only.

Please direct all correspondence and telephone calls to:

**CUSTOMER No. 23531** 

KEVIN E. WEST SUITER • WEST PC LLO 14301 FNB PARKWAY, SUITE 220 OMAHA NE 68154-5299 (402) 496-0300 (TELEPHONE) (402) 496-0333 (TELECOPIER)

DATED: November 20, 2003.

Respectfully submitted,

Kevin E. West Reg. Nº 43,983

A. L. Pepper Aasgaard,

This Transmittal Ends With This Page.

Attorney Docket Nº: ASA 02-3-2

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

A. L. Pepper Aasgaard

Express Mail Nº

EV 303 409 509 US

Filed

November 20, 2003

For

**Emergency Signaling Device** 

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Dated: November 20, 2003

Kevin E. West Reg. № 43,983

**CUSTOMER No. 23531** 

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).